



Plans for Faecal Sludge and Septage Management in Towns of Uttar Pradesh

Har

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Overview

Objective of the study

Methodology for the study

UP state scenario with FSSM Bye-Laws

Components of Bye-Laws

Model FSSM Bye-Law Structure and features

Procedure for Gazette Notification of FSSM Bye-Laws (Bijnor Case)

Action for ULBs for FSSM Bye-Laws constitution

Open discussion

Objective of the Study

1. To understand the importance of FSSM
2. To create a guidelines cum framework for formulation of faecal sludge and septage management Bye-Laws.
3. To develop a model bye-laws for faecal sludge, septage, and wastewater management Bye-Law template that can be used by any city of Uttar Pradesh

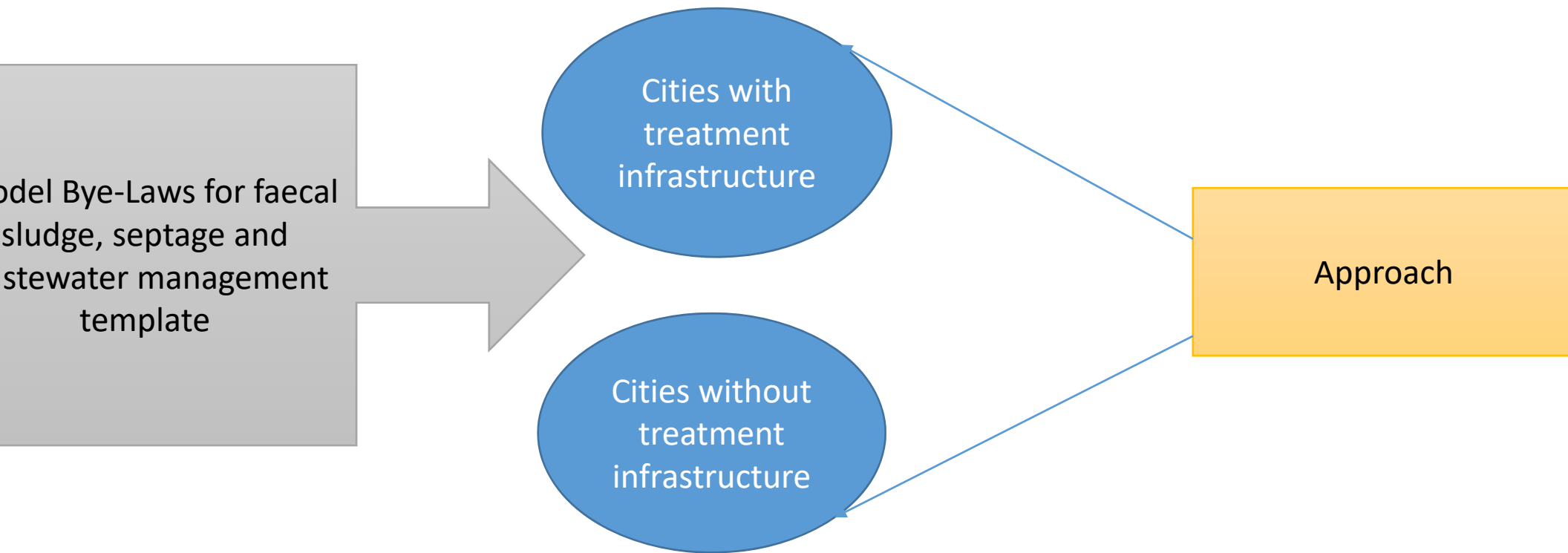
Methodology



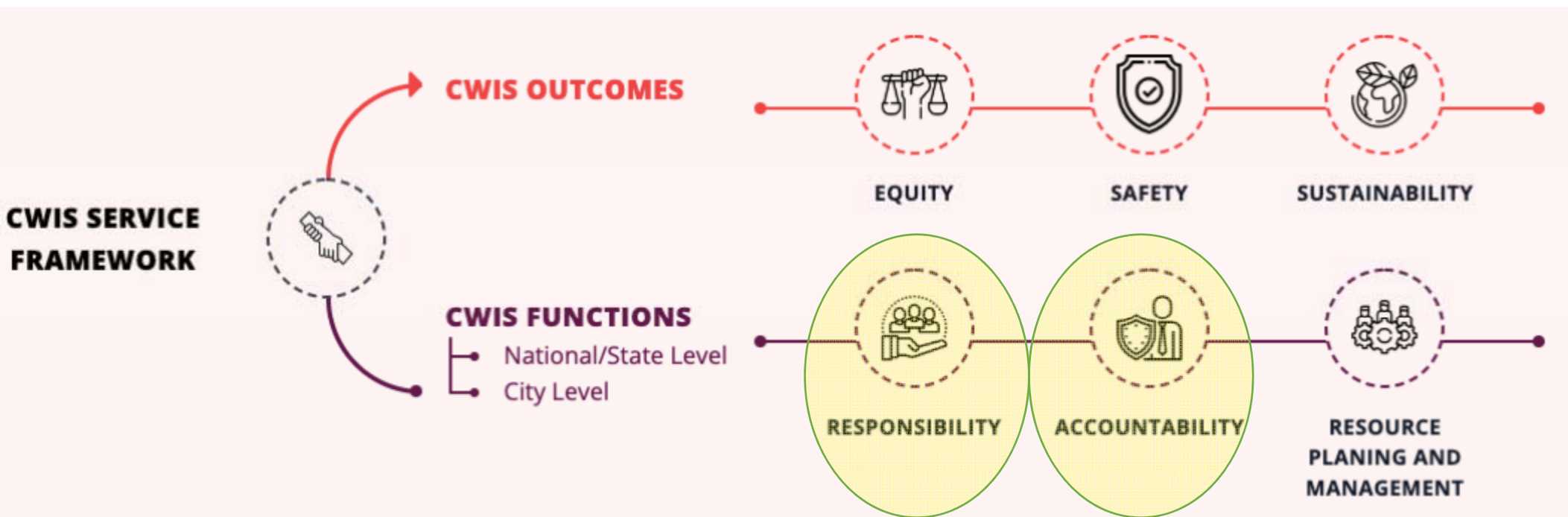
Reviewed Bye-Laws:

1. Odisha FSSM Bye-Laws Gazette (adopted the structure)
2. Tamil Nadu Government Gazette, 2022, Bye-laws on Faecal Sludge and Septage Management.
3. Policy on Faecal Sludge and Septage Management, 2018, Government of Telangana.
4. Bye-laws for Faecal Sludge and Septage Management (FSSM), 2020, as per, The Uttrakhand State Protocol for Septage Management.
5. Bijnor Faecal Sludge, Septage and Wastewater Management Bye-Laws, 2022, Gazette Notification, Government of Uttar Pradesh.
6. Draft Septage Management Bye-Laws, Manapparai Municipality 2020, Tamil Nadu
7. Guidelines for Faecal Sludge and Septage Management in Uttar Pradesh, 2018, Centre for Science and Environment, New Delhi.
8. FSSM Policies of Telangana, Maharashtra, Jharkhand, and Rajasthan.

roach

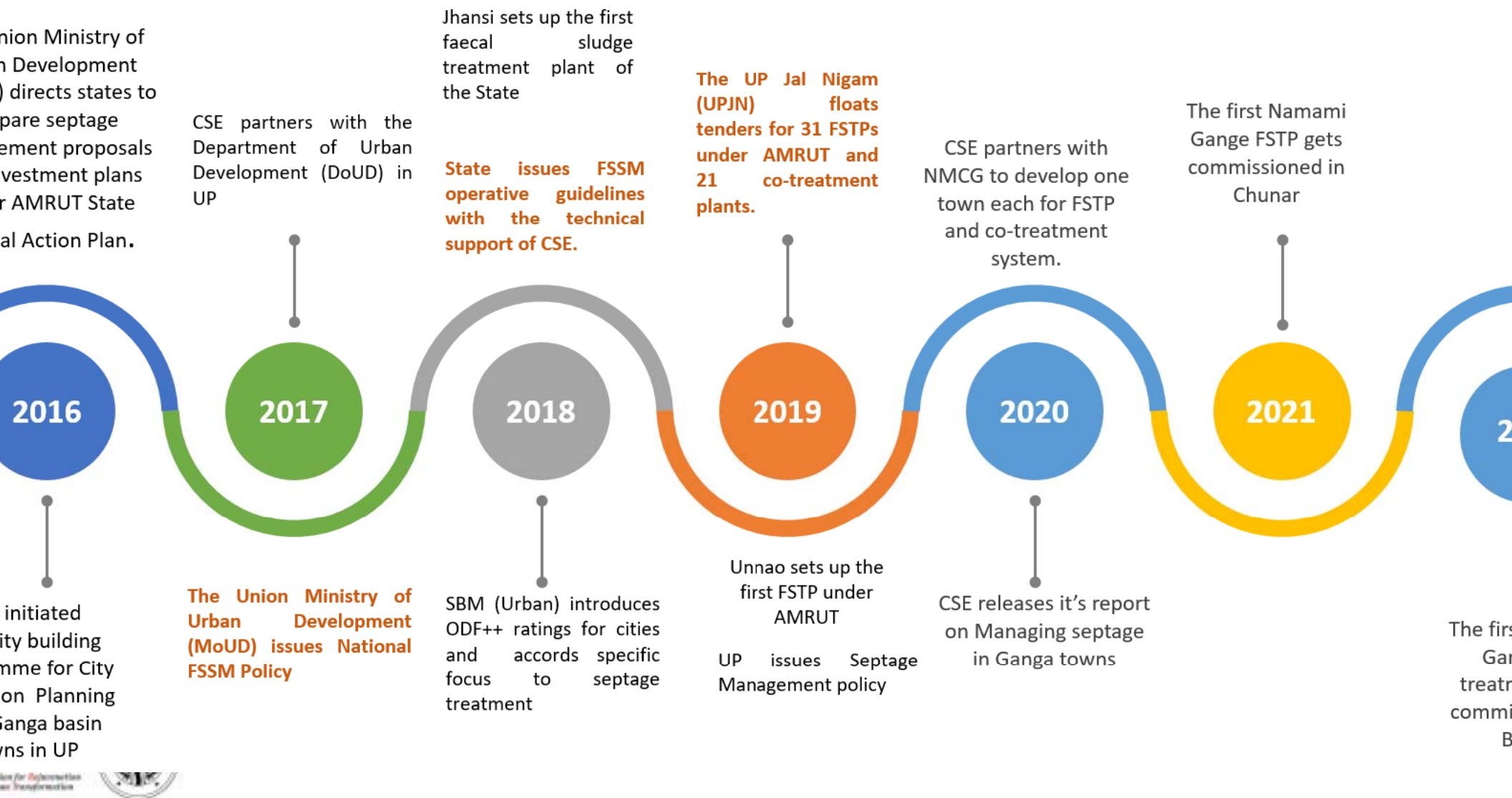


y we are discussing these Bye-Laws as CWIS on

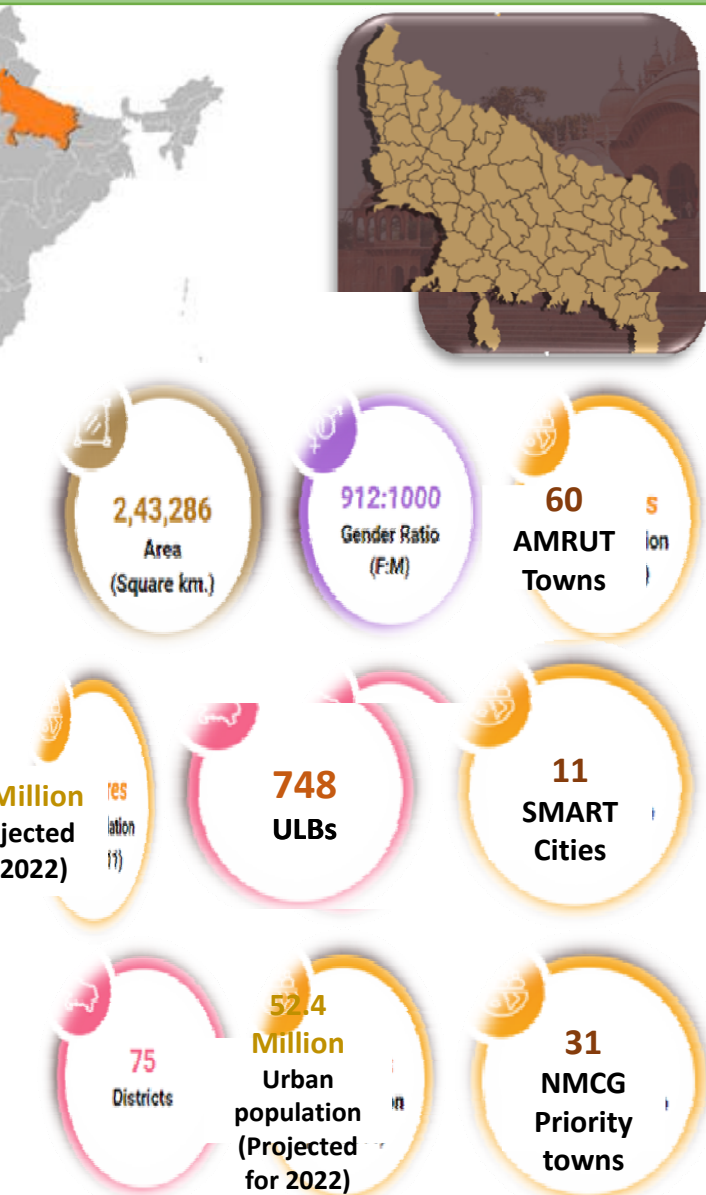


Citywide Inclusive Sanitation (CWIS) is a public service approach to advance Equitable, Safe, and Sustainable outcomes, by strengthening the design and implementation of core public system functions of Responsibility, Accountability, and Resource Planning and Management.

State: Faecal Sludge Management Journey



Pradesh State: Sanitation Profile



Urban Sanitation Status

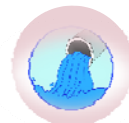
90% of population is not served by sewage or septage treatment facilities



67.9%

Population dependent on non-sewered sanitation systems¹

¹ Estimated using extrapolated population dependent on non-sewered sanitation systems, considering data provided in Census 2011



31 cities out of 735

Have sewage treatment plants



40 cities

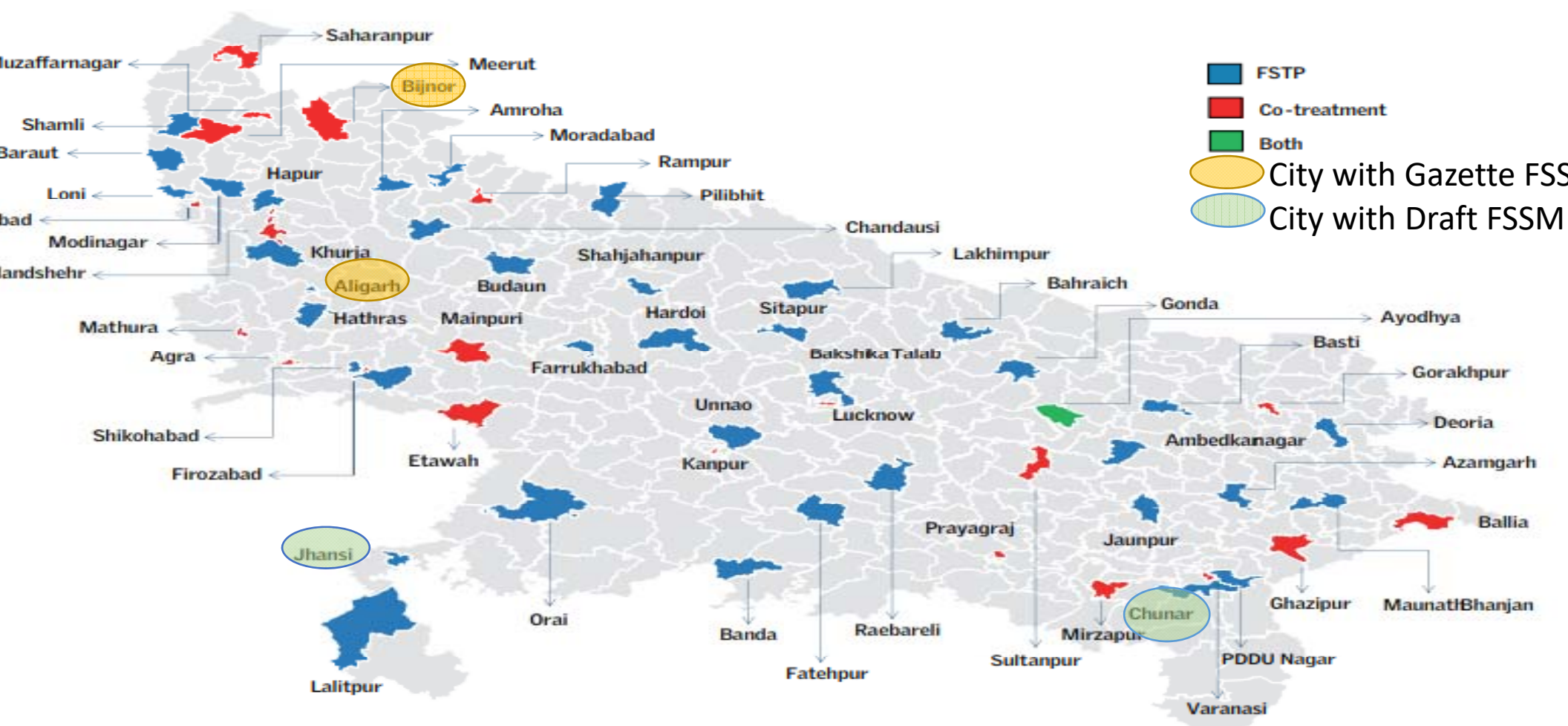
Have sewage and septage infrastructure



75 cities out of 735

Have existing/ proposed sewage and septage infrastructure

ate scenario with Faecal Sludge & Septage Management Bye



Uttar Pradesh Jal Nigam

- Currently Uttar Pradesh state has an Uttar Pradesh Septage Management Policy, which articulates following three septage management (SM) visions:
“By end of 2023, all ULBs have implemented SM solutions in an inclusive manner empowering all stakeholders in the process.”

continued..

**State workshop on Septage Management to City Wide Inclusive Sanitation-
29th July, 2022**

Total participants- 150+
Total ULBs present- 50+



State is under the process of operationalizing 60+ FSSM projects.

Study “Septage Management for Citywide Inclusive Sanitation in Uttar Pradesh” assess the sustainability of these 60+ FSSM projects (launched on 15th July 2022)

Formation of **FSSM Bye-Laws** as a **priority capacity building and behavior change communication factor**.

Structure of Bye-laws

Management and disposal of wastewater from the premises of a property.

On-site Sanitation Systems:

Regulations and compliance by owner or occupier;

Designs, constructions and maintenance of OSS

Planning and registration for collection and transport of FSS:

Planning/ Collection and transportation of FSS:

Management of Faecal Sludge and Septage (FSS):

Regulations of ULB with treatment facility;

Regulations of ULB without treatment facility;

Management & Reuse/Safe Disposal of Faecal Sludge and Septage (FSS):

Identification of treatment/disposal site.

Viable options in case of no treatment facility-

(i) Cluster Approach

(ii) propose for treatment with interim plan.

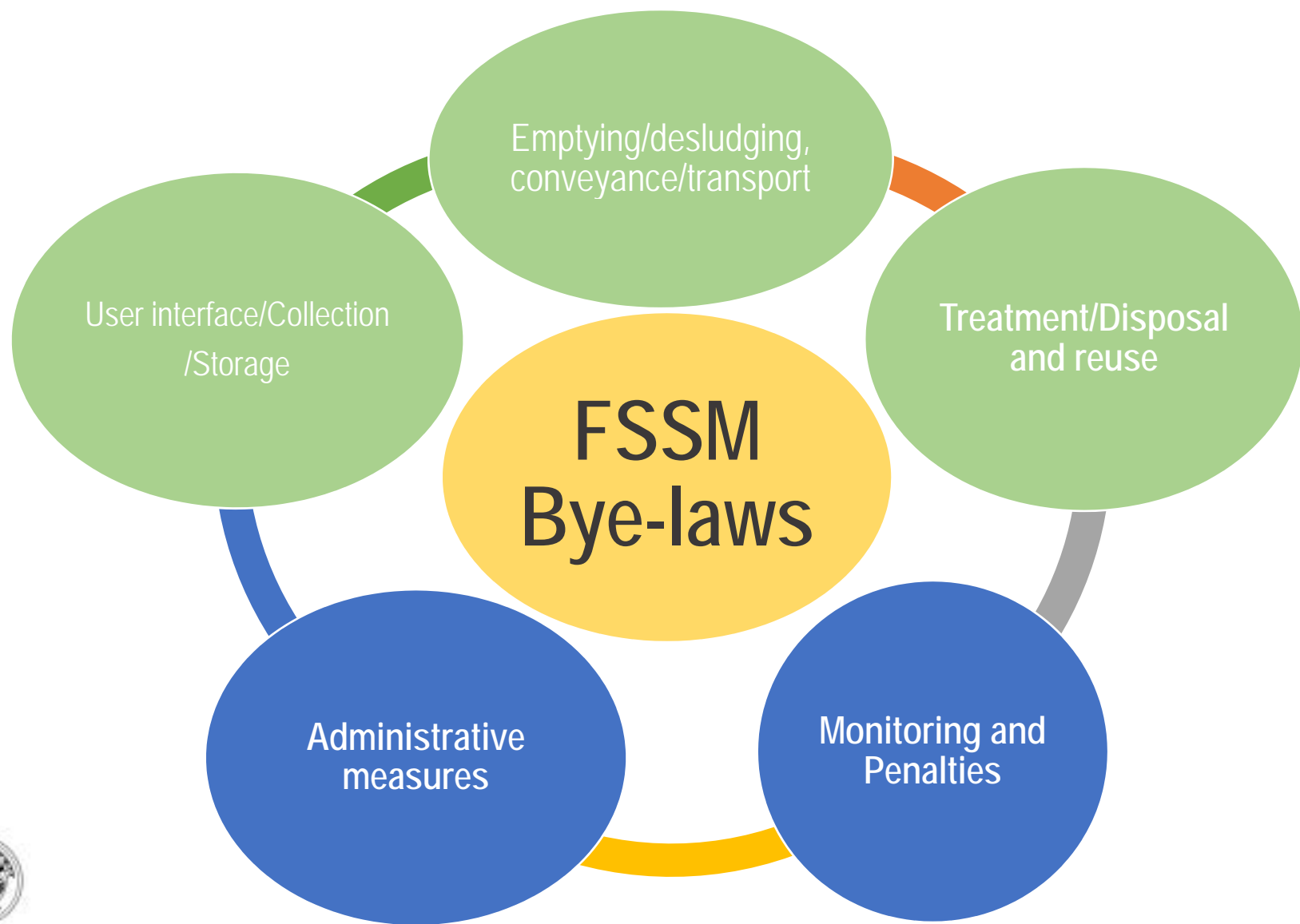
Administrative Measures:

Registration and enforcement of Bye-Laws;

Imposing Penalties for contravention, Authority and powers with ULB to ensure compliance.



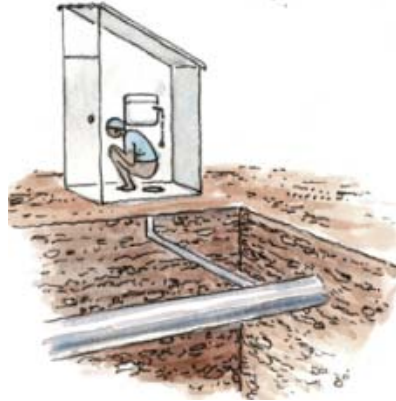
Components of Bye-Laws



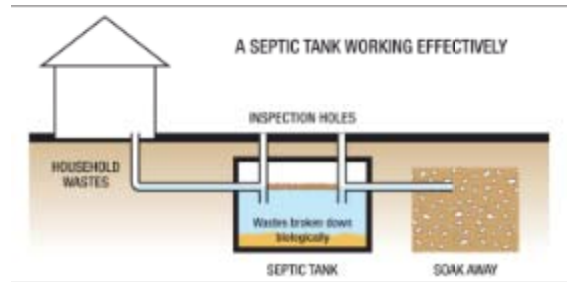
User-interface/collection/storage (constitution)

Management and disposal of wastewater from the premises of a property - following ways proposed

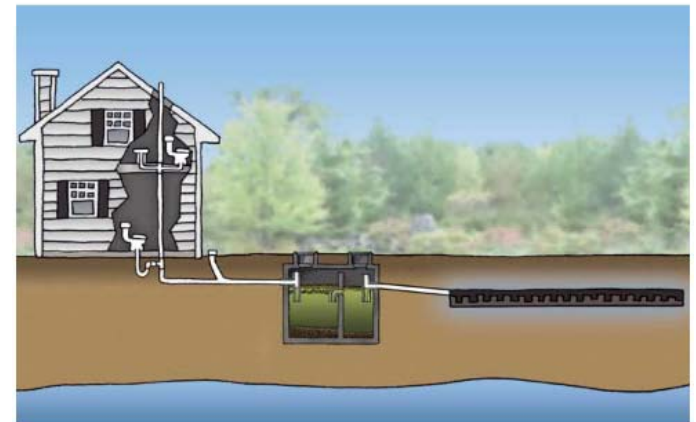
1. If a property has a sewerage system connecting all the toilets of the property (user interface) to sewers



2. Connecting toilets (User Interface) to centralized treatment units like



3. Connecting toilets (User Interface) to containment units (OSS)



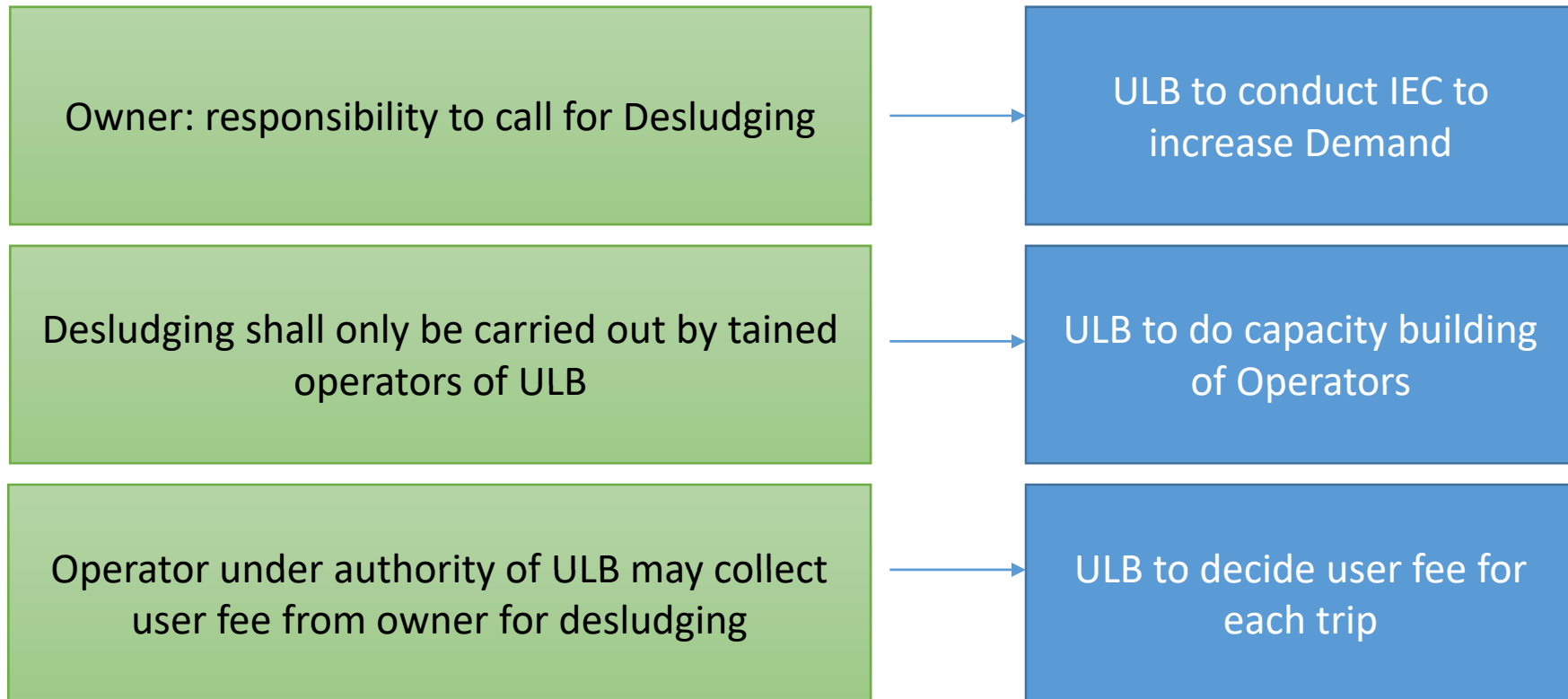
Slide 14

HY1

Harsh Yadava, 9/25/2022

tying/desludging/conveyance and Transportation

anism for mechanized desludging and transport of FSS: Only registered desludging vehicles to be utilized for
ng purpose



hued...

ation, Application, Licensing- Process

Appendix-1

Form 1: Application form of license to collection, transportation and disposal of Faecal sludge & Septage in ULB (Name of NN/NPP/NP)

Paste a Passport size photograph with ULB Stamp

- Name (s) of the applicant: (Mr/Ms):
- Nationality (India/Other):
- Address of correspondence:
- Address of Head Office/ Regd. Office:
- Telephone Number: (O) :
(M) :
- Email ID:
- Registration No. of vehicle(s):
(1) :
(2) :
- Fitness certificate of the vehicle(s) valid upto:
(1) :
(2) :
- Insurance of the vehicle(s) valid upto:
(1) :
(2) :
- Pollution certificate of the vehicle(s) valid upto:
(1) :
(2) :
- Vehicle(s) whether fitted with GPS tracker (Yes/No):
- Details of payment of processing fee for license
D.D No. : Date : Bank :
- List of attached documents (self-attested copy) (tick):

- | | | | |
|---|--|--|--|
| <input type="checkbox"/> Identity Proof | <input type="checkbox"/> Registration Certificate(s) | <input type="checkbox"/> Pollution Certificate(s) | <input type="checkbox"/> Address Proof (s) |
| <input type="checkbox"/> Fitness Certificate(s) | <input type="checkbox"/> Driving License | <input type="checkbox"/> Certificates of Insurance and Policy schedule | <input type="checkbox"/> Passport Size Photo |
| <input type="checkbox"/> List of employees | | | |

Total number of attachments: xxx

I/We certify that information given by me/us in column 1 to 13 are true to the best of my knowledge and belief. I also certify that I have read and understood the attached terms & conditions and agree to abide by them. I agree that if any information given by me is found wrong the application for license will be liable for cancellation at any time.

Signature of applicant(s)

Date:

Appendix-2

Form 2: Award of the License to Collect, Transport and dispose FSS

License for collection, transportation and disposal of Faecal Sludge & Septage in ULB (Name of NN/NPP/NP)

Paste a Passport size photograph with ULB Stamp

In accordance with all the terms and condition of the By-laws/ Regulations, Municipalities Act rules, the special license conditions accompanying this license and applicable rules and laws of Government of Uttar Pradesh, the permission is hereby granted to:

1. Name(s) of the applicant: (Mr. / Ms.):

2. Address of head Office /Regd. Office:

For the collection, transportation & disposal of faecal sludge & septage from onsite containments in ULB (Name of NN/NPP/NP)

This license is based on information provided in the FSS Collection, Transportation and Disposal License Application Form. This license is effective for a period of one year from date of issue, set forth below.

3. License No:

4. Effective Date:

5. Expiration Date:

The license may be suspended or revoked for condition of Non – Compliance and is not transferable. The original license shall be kept on file in the license office. A copy of this license shall be carried in every registered vehicle used by the license.

Municipal Commissioner/ Executive officer/ Designated Officer
Operator/owner (Name of NN/NPP/NP)

Empty

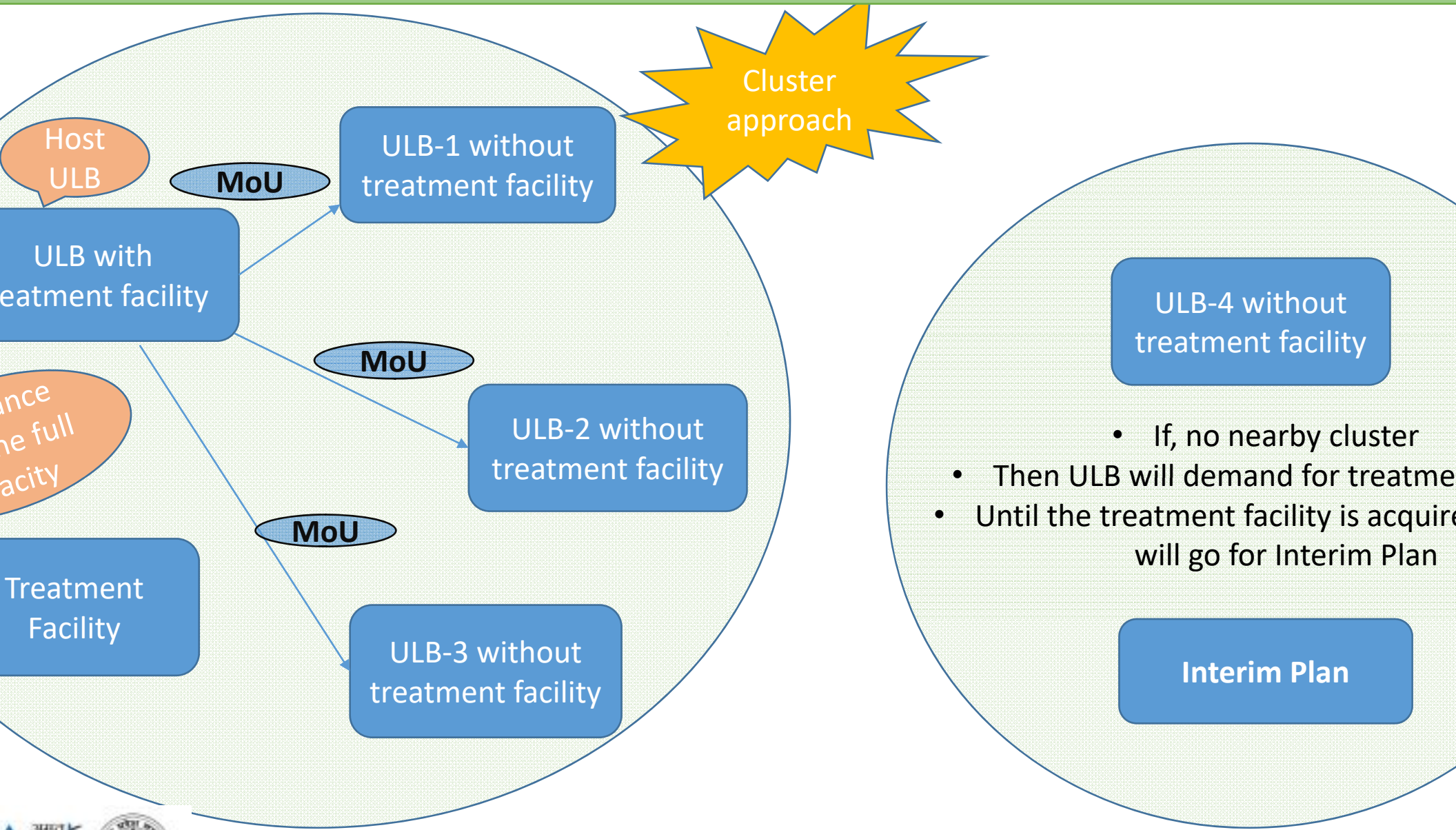
Licensing logic?

- To regularize the in system of desludging by private desludging
- To keep records of properties desludging
- To ensure proper monitoring of FSSM town
- To avoid illegal dumping
- Ensure load at FST treatment facility.

2. Application to registration for licensing forms

3. Award of License form

ent/Disposal and reuse



ULB with treatment
by the operators about treatment
and its operation timings
for cluster approach until low
frequency of decanting
time to time to operators
All about routes exempted to
support FSS.
Check FSS quality
and keeping
by to cluster ULB about
continuation
then check up for desludging
tanks and insurance
to be enforced as per the
CPCB.

1. Urge for cluster approach and create a MoU
2. Notify private desludgers about the cluster treatment facility and allowed timings for decanting FSS
3. Regular check in advance with Host with about allowable quantity of FSS at the treatment facility.
4. If no cluster in tangible limits of the ULB then approach center to provide treatment facility
5. Until treatment facility is constructed create an interim facility for safe disposal of FSS
6. Record keeping
7. Health check ups & Insurance of operators
8. Tipping fee to be paid to “Host ULB”

Signatur

Page 21- Model FSSM By



Administrative Measures and Penalties

Form of Appeal preferred before the Appellate Authority

Form of Appeal preferred before the Appellate Authority
Authority

Appellant: (Designation)

Municipal Officer who issued order appealed against

Designation.....

Order appealed against:

Appeal;

on

Appeal in brief (Attach copy of the order appealed against)

Details of any to be enclosed in separate sheet)

.....

Verification

..... (name of the appellant), son/daughter/wife of hereby
furnished in the appeal are to the best of my knowledge and belief, true and
not suppressed any material fact.

Signature of the Appellant
Date:

Attached as enclosure:

..... tear here

Acknowledgment

..... Date.

..... Date:

Seal and signature of authorized officer

By order of ULB (Name of NN/NPP/NP)

(Seal and signature of Municipal Commissioner/ Executive officer)

ULB (Name of NN/NPP/NP)

- **Administration & enforcement** powers of these regulations are vested in Municipal Commissioner/Executive Officer or designated official by ULB
- ULB may levy **user fees** for FSSM services.
- **ULB to inspect** OSS, Desludging vehicles & treatment facility time to time for effective implementation of FSSM
- **Appeal:** Person or User aggrieved from ULB official can appeal to MC/EO. For appeal against MC/EO are to be done in Taskforce

Penalties

- Direct discharge of excreta to drains
- Untreated FSS discharge from FSTPs, DRE, or co-treatment
- FSS disposal to unauthorized areas
- for unscientific construction or design of containment systems
- Un-registered desludging vehicles

Appendix-5

Penalties and fines

S. No.	Description	Regulation(s)	Indicative fines (in ₹)
1.1	Direct/unsafe discharge of wastewater into drain/road/open area	3	50
1.2	Continuing breach 2nd Instance	3	50
1.3	Continuing breach 3rd and subsequent	3	15 per day
2.1	Unscientific design and construction of OSS	5	50
2.2	Continuing breach 2nd Instance	5	50
2.3	Continuing breach 3rd and subsequent	5	15 per day
3.1	Plying of vacuum tanker(s) without ULB registration	6	50
3.2	Continuing breach 2nd Instance	6	50
3.3	Continuing breach 3rd and subsequent	6	15 per day
4.1	Plying of vacuum tanker(s) without valid certification(s) as recommended in the Traffic Rules	7	50
4.2	Continuing breach 2nd Instance	7	50
4.3	Continuing breach 3rd and subsequent	7	15 per day
5.1	Non-compliance to attend accidental spillage		1000
5.2	Continuing breach 2nd Instance	19,20	1000
5.3	Continuing breach 3rd and subsequent	19,20	100 per day
6.1	Discharge of untreated FSSW from FSSTP/STP	27,28,33	10000
6.2	Continuing breach 2nd Instance	27,28,33	10000
6.3	Continuing breach 3rd and subsequent	27,28,33	1000 per day
7.1	Discharge of untreated FSS at location other than that Notified by ULB	27,28,33	10000
7.2	Continuing breach 2nd Instance	27,28,33	10000
7.3	Continuing breach 3rd and subsequent	27,28,33	1000 per day

Source: adopted from Odisha FSSM Reg.

ette process taken in Bijnor City

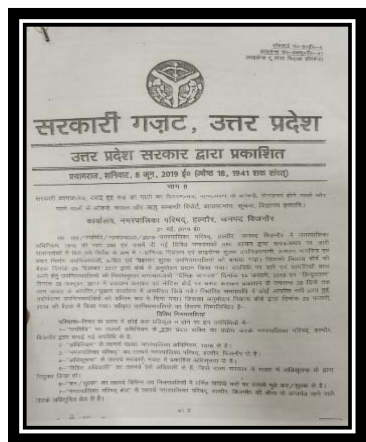
Stakeholder's consultation Workshop/हितधारक परामर्श कार्यशाला

- Formation of a City Sanitation Taskforce and sensitizing them on FSSM
- Consulting all sanitation workers, private emptiers, RWA's, Public leaders, ward members etc. Next draft the FSSM Bye-laws and keep it in the council meeting for any challenge or further clarities
- Making a final draft for newspaper notification



Newspaper notification for challenges on bye-laws/उप-नियमों पर किसी भी चुनौती के लिए समाचार पत्र अधिसूचना

- It is necessary put each and every aspect of ev laws in at least two famous local newspapers.
- Put the bye-laws on municipality noticeboard
- Wait for a 30 or 45 days for any challenges or from the citizens on the draft bye-laws
- In case of any query, please clarify it to the pe there are challenges then put again the issue meeting and repeat the notification process in newspapers.



Final Gazette of FSSM Bye-laws at Prayagraj/राजपत्र कार्यालय प्रयागराज में FSSM उपनियमों का राजपत्रीकरण

- Cover letter
- Finalized Bye-Laws
- Newspaper notification copies (a two newspaper copies)
- Clarification or query response r
- Challenge response and update notification (if any)

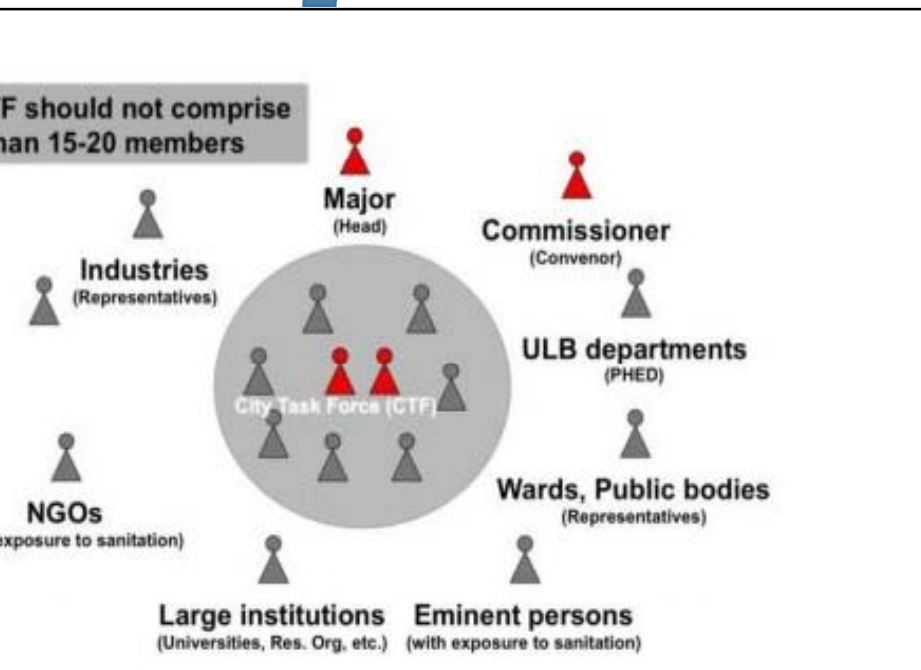


How to use Model-byelaw template (Draft..)

The Model By-laws are developed for Faecal Sludge, and Septage Management (FSSM) in cities of Uttar Pradesh. The following points must be read carefully to understand the usage of Model By-laws

- i. In the model bye-laws, three distinct colours have been used for the ease of understanding and taking necessary action by the ULBs developing the ULB-specific bye-laws
- ii. The text highlighted with the following colors will denote the following -
 - a. **Xxx xxx:** In this shade of color, the name and/or category of the respective ULB or the designation of the executive head of the ULB
 - b. **Ddd ddd:** Texts in the model bye-laws if highlighted with this colour would mean that the ULBs have to take ULB specific decision and in some cases the same would require discussion at various levels of the ULB. The current values highlighted with this colour is only for example, not to be taken in ditto.
- iii. The bye-laws appendix 5 and 9 of these by-laws are to be restructured completely according to the local context of the administrative area of the ULB for which by-laws are being made.
- iv. While drafting the provisions for penalties in Model By-laws the ULB must decide what strategy they want to take for implementation of FSSM in the area.
- v. The Model By-laws could be used by any type of ULB based on the availability of treatment facility with ULB, any adjacent ULB or seeking for treatment facility
- vi. The interim treatment facility or temporary management of FSS for the ULBs seeking for ideal treatment infrastructure must adhere to standard state or national practices.

Plan for ULBs for FSSM Bye-laws constitution



Formulate a City Sanitation Taskforce (CSTF)



- Sensitization of (CSTF) on FSSM and Wastewater
- Initiate discussions on FSSM Bye-Laws (use template)
- Decide on pre-thinking codes mentioned in FSSM bye-laws template

Draft FSSM Bye-Laws using model Bye-Laws template

- Call for Desludgers/ registrations
- Sensitize them about FSSM
- Make agreements
- Resolve issues & challenges

- Final draft of Bye-Laws
- Putting Bye-Laws in city council
- Local newspaper notification
- Resolving challenges
- Final Gazette notification

Setting Bye-laws: what ULBs need to decide

Decide upon: (Fees)

Registration fees for private desludgers
with ULB
License renewal fees
Tipping fee

Decide upon: Monitoring Pattern

Whether go for GPS monitoring or not?
Whether go for third party monitoring
system
Explore more

Decide upon: Penalties

1. Direct discharge of excreta to drains
2. Untreated FSS discharge from FSTPs, DRE, or co-treatment
3. FSS disposal to unauthorized areas
5. For unscientific construction or design of containment systems
6. Un-registered desludging vehicles
7. Counts of breach ULB want to bear or penalize

Decide upon: Interim Treatment (If applicable)

Decide upon: Re-use of treated wastewater:

1. Whether to use for horticulture;
2. Or construction;
3. Or agriculture
4. Explore more....



Discussion

all components covered in the Bye-Laws?

ment A- To ensure that all cities of Uttar Pradesh could initiate formulation of Bye-Laws to create an ecosystem for management of Faecal sludge, septage and wastewater management merged template is prepared. It could be used by cities with existing infrastructure and without infrastructure

Could we create separate bye-laws on FSSM for ULB with treatment and ULB without treatment facility or a merger document?

ment B- An approach to form a cluster of ULBs (may be 2 or 3) dependent upon a Host ULB (Host ULB) that has a under utilized faecal sludge treatment facility

Is the cluster approach limited until the host ULB would attain maximum frequency of capacity: Possible suggestions?

How Bye-laws can be implemented effectively?



Can this model work?