



‘Alliance for Urban Transformation’ in Uttar Pradesh – for collaboration and convergence towards ‘Water and Sanitation for all’

Terms of Reference

Background

Centre for Science and Environment (CSE) entered into a partnership with Department of Urban Development (DoUD), Govt. of Uttar Pradesh, to support the state in achieving effective septage management and citywide sanitation by facilitating convergence of various national and state policies, plans, programmes and project implementation. The proposed ‘Alliance for Urban Transformation’ is one of series of Technical Support activities of CSE’s Programme Support to DoUD GoUP.

During CSE-DoUD Review Meeting dated 15th May 2019 held under the Chairmanship of Principal Secretary (DoUD), it was decided to form an ‘Alliance for Urban Transformation’, with a special focus on sanitation including water, as a platform for better convergence of State and City Officials as well as Development Partners. This would help in better convergence of plans, policies and projects related to urban sanitation and river pollution.

Subsequently, Ministry of Jal Shakti has been created at the Centre by merging former Ministries relating to Water Resources and Drinking Water & Sanitation. Jal Shakti department has also been created at the state. The National Mission for Clean Ganga, which has a special focus on urban wastewater treatment, falls under this department. This further necessitates the creation of this Alliance.

MoHUA has launched the ODF+ and ODF++ protocols, with a focus on sustaining ODF outcomes and achieving holistic sanitation. While ODF+ protocol focuses on O&M of community / public toilets by ensuring functionality and proper maintenance of CT/PTs for their continued usage, ODF++ focuses on addressing safe management of faecal sludge from toilets and ensuring that no untreated sludge is discharged into open drains, water bodies or in the open. Further, the Water+ protocol was launched to ensure that no untreated waste water is discharged into the open environment or water bodies. The Swachh Survekshan 2020 focuses on sustainability across the sanitation value chain with parameters like Wastewater Treatment and Reuse, and Faecal Sludge and Septage Management getting special attention. The launch of Uttar Pradesh Septage Management Policy is a welcome step by the state and we appreciate the efforts by all the Govt. Officials and Development Partners active in the State.

In order to achieve the holistic goals of the Centre and State policies, there a need for collaboration and convergence of effort by all the partners in the state. This platform aims to build synergies across the state and help the cities in leapfrogging towards achieving ‘Water and Sanitation for All’.

Objectives of Alliance for Urban Transformation:

The ‘Alliance for Urban Transformation’ intends to create a platform to bring together State and City Officials as well as Development Partners in an effort to bring synergies and amplify the progress of

cities in the state towards achieving the holistic goals of the Centre and the State. The objective of this meeting is to establish a 'Alliance for Urban Transformation' to help create a platform for state actors for facilitation of collaboration and bringing convergence to the efforts for achieving Sustainable Development Goals (SDGs) with a focus on 'Water and Sanitation for all'.

The 'Alliance for Urban Transformation' is needed to facilitate convergence of policies and programmes of various national and state level departments. The platform would help in developing strategies and action plans for safe and effective City-Wide Inclusive Sanitation, Water Conservation, Rejuvenation of Water Bodies, Monitoring & Evaluation of implementation of projects, review various guidelines & model documents for Urban Local Bodies. This platform would be facilitated by a Data Analytical Cell, a global MIS system, developed and updated with support of development partners.

Data Analytical Cell

1. A Global MIS system with information from all the programmes and missions.
2. A Nodal Officer support by a team shall be responsible for updating the system.
3. The data would facilitate the decision making, finalizing action plans and monitoring by the committee.
4. The development partners to support and facilitate is access to data and information to facilitate informed decision making.

Core Committee for Decision Making

1. Principal Secretary, Department of Urban Development - ('CHAIR')
2. Director, Local Bodies Directorate – ('CONVENER')
3. State Mission Director, SBM
4. State Mission Director, Jal Shakti Mission
5. State Mission Director, AMRUT
6. State Mission Director, SMART CITIES
7. Nominated Officials from relevant departments

Composition of Alliance for Urban Transformation:

1. **Director, Local Bodies Directorate** or nominated official - ('CHAIR')
2. Team Lead, Programme Support Unit, Centre for Science and Environment (CSE) / or nominated official – ('CONVENER' – *ensure regular meetings and facilitate implementation of decision points and action plans*)
3. Nominated Official from Jal Shakti Department
4. Nominated Official from Housing and Urban Planning Department
5. Nominated Official from other concerned department
6. **State Mission Director – AMRUT** or nominee
7. **State Mission Director – SBM** or nominee
8. **Mission Director – Jal Shakti** or nominee (if applicable)
9. **Representative from State Mission for Clean Ganga** - Officials Responsible for planning, budgeting and monitoring of Urban Development / Sanitation related projects in Ganga towns.
10. **Representative for Uttar Pradesh Jal Nigam** - Officials responsible for building and upscaling of sanitation related projects.
11. **Representative from National Urban Livelihood Mission** – Officials responsible for formalization, capacity building and developing livelihood opportunities for sanitation workers.
12. **Representative from the State Town and Country Planning Department** – Officials responsible for Building-bye laws implementation and regulations & enforcement related to Septic Tanks.
13. **Representative from Urban Local Bodies** – Municipal Commissioners, Executive Officers from select cities who can bring perspective of challenges in enforcement and implementation.

14. **NGOs and CSOs** – Representatives of organizations working in the field who can contribute towards streamlining and finalizing an Action Plan.
15. **Nominated Sector Experts** – Professional whose expert inputs
16. **Multilateral Agencies** – Agencies actively involved in the sector

Responsibilities of the Alliance for Urban Transformation:

1. The 'Alliance for Urban Transformation' can create specialist sub-committees to formulate action plan for specific area of sustainable urban development like elimination of manual scavenging, health & safety of sanitation workers, mainstreaming gender inclusion in sanitation, ensure coverage of urban poor and marginalized in the schemes, programmes and action plan for safe sanitation.
2. Develop specific action plans for effective city-wide sanitation including FSSM, water conservation, and elimination of manual scavenging in ULBs across the state.
3. Develop an effective monitoring framework for tracking and evaluating the progress of the ULBs.
4. Recommend coarse corrective measures in-case of delays and hurdles faced in implementation.
5. Review and endorse guidelines and model documents which can used by the ULBs like action plan for elimination of manual scavenging, implementation of effective Faecal Sludge & Septage Management, City-wide Inclusive Sanitation strategies and bye-laws.
6. Develop strategies and action plan for adoption of appropriate city-level frameworks such as City Sanitation Task Forces, Area Level Forum where issues or hurdles in effective implementation of sanitation are identified and flagged to higher authorities for necessary action or solution.

Documentation, Communication and Reporting

The Minutes of the Meeting can be recorded by the office of Director Local Bodies and circulated among the members which would include the key decisions taken and action points with responsible organization.