

— REVISED —

**Minutes of The Maiden Consultation of
'Alliance for Urban Transformation'**

on 13th of February, 2020 held in Lucknow, Uttar Pradesh

1. Dr. Kajal, Director, Directorate of Local Bodies, Uttar Pradesh set the context for 'Alliance for Urban Transformation' and welcomed the potential partners. The objective of the Alliance was to create synergies and convergence of all the efforts for the state. This would help in avoiding duplication of efforts and help create multipliers in the state.
2. The short presentations were given by all the potential partners. The summary of the key-points presented by the Development Partners is presented in the Annexure.
3. Mr. Anurag Yadav requested the partners to consider following critical issues _
 - a. Need to strengthen IEC and Behavioural Change Communications
 - b. Standardization of modules, tools and practices with focus in SWM and FSSM.
 - c. Inclusiveness with focus on informal sector.
 - d. Democracy and accountability in delivery of services.

4. Mr Manoj Kumar Singh, Principal Secretary, Department of Urban Development (DoUD) appreciated the initiative of Dr Kajal (IAS) in organizing this Alliance, which is very much needed to achieve the SDGs. He further addressed the development partners regarding objective for this Alliance for collaboration and maximizing the impacts at the state level.

The Principal Secretary floated the idea of having model cities in the state where all the best practices suggested by the Development Partners can be implemented. Once the plans and project are presented and finalized, the state can provide funding for the same:

- a) Principal Secretary suggested Lucknow, being the capital city, can be one. CSE to collaborate with PSI in recommending best practices related to sanitation of non-sewered areas in the city.
- b) Being close to Lucknow and a smaller ULB, Bakshi-Ka-Talab was suggested by Dr. Kajal. WaterAid (already working within the city) can collaborate with CSE and other partners to make it a model across all sectors.
- c) Saharanpur was another city selected on recommendation of the World Bank Group.

He further suggested the following collaborations:

- a) KPMG to work closely with WaterAid in mapping and geotagging of drinking water issues in Lucknow.
- b) UNDP and Tata Trusts to work collaboratively in preparation of a Bundelkhand Migration Plan.

The Principal Secretary emphasized that the state is open to pitching of new policies, which are needed in the urban domain.

Creation of sub-groups for specialist domains: (Partners' domains are appended herewith).

Sub-group domains	Group Partners
Faecal Sludge Septage Management	CSE, IDC, PSI
Financing and Governance	AIILSG, CSE, KIW, KPMG, PSI
IEC and Behaviour Change Communication (BCC)	ActionAid, CSE, Oxfam, UNICEF, Vigyan Foundation
Solid Waste Management	Agha Khan Foundation, IDC, UNDP
Training & Capacity Building	AIILSG, CSE, PRIA, RCUES
Water	CSE, Tata Trusts, Vigyan Foundation, WaterAid, WRG2030

'AIILSG' is to create a dashboard for the Alliance-for- Urban-Transformation.

Mr Aditya Vidyasagar (Advisor, SMCG-UP & Nodal to ULBs {HhS&TWCs}) and Ms Vanshika Chadda (Executive Assistant to Director Urban Local Bodies) would be the Nodal Persons, who would be responsible for overall monitoring, and co-ordination of the Alliance. Going forward, the sub-groups are expected to meet and prepare an action plan for the coming six months.

Dr. Kajal
Director
Urban Local Bodies
Uttar Pradesh

(Being electronic version this does not require any signature)

Brief by Partners of "The Alliance for Urban Transformation" as drawn from their Presentations made during the Meet on the 13th of February, 2020 held in Lucknow

(In order of making the Presentation during the Alliance Meet)

01. **CSE**, briefed about their programme Capacity Building in the Ganga Basin for Citywide Sanitation which include training, workshops & exposure visit for all the stakeholders across the sanitation value chain. CSE providing deep-dive technical support for 3 cities; Bijnor, Chunar and Prayagraj. CSE has created a 'Forum of Cities' that Manage Septage' focusing on peer learning among cities. The present focus is on model projects working on the softer components across the sanitation value chain and bring out multiple model cities which other cities can aspire too.
02. **KfW**, a German Development Bank. It provides loans for the implementation of the project. KfW is providing concessionaire loans to the state with a minimal fee and interest rate. Their major work includes sustainable urban development, energy & natural resource management in Uttarakhand, MP, Odisha, Chennai & Kochi. The critical points for financing are life cycle cost, timelines and sustainability of the project.
03. **Aga Khan Foundation** is working majorly on health, water and nutrition aspects. Their initiatives include SBM comprehensive sanitation plan, hygiene & education, habitation level drinking water supply in Bihar, menstrual hygiene management, de-centralized SWM (Resource Recovery Centre) in Patna. They plan to work in urban de-centralized facilities in SWM.
04. **RCUES** shared their inception and the major activities they have undertaken in line with their mission of 'building capacities of city managers for achieving good urban governance' by assisting state & national governments in the implementation of schemes & projects, and undertaking training and capacity building of functionaries and elected representatives of urban local bodies & parastatal agencies.
05. **PRIA** is working on uplifting the marginalised communities for improved livelihood. They are developing organisations and strengthening the capacities of urban poor to participate in planning, implementation, and monitoring of sanitation services. This is done through a comprehensive assessment of sanitation services in informal settlements for the creation of Settlement Improvement Community Group.
06. **TATA Trust** is working on agriculture, education, migration, PRAYAS - community health, ASTITVA- MHM Project (WASH) and community-based SWM model in Varanasi. Their major intervention is creating access to safe drinking water in quality affected areas of Assam (arsenic contamination).
07. **PSI** is working in six states including Uttar Pradesh, their priority areas are Sanitation (rural and urban) and Health: Urban health, MCH, Family Planning, Adolescent Health, TB & Non-Communicable Diseases. PSI also has a separate MOU with the Department of Health and Family welfare. In Uttar Pradesh and they have focussed on formalizing the private operator in a self-regulatory body of tanker operators linked with the municipal corporation and have been working towards the development of city action plan and dissemination of the State FSM Policy.
08. **UNDP** is working on achieving SDGs goals include poverty, education, health, water & sanitation, nutrition & food security, climate change, clean energy, disaster resilience, employment, gender equality. They are building institutional & system strengthening for service delivery, inclusive growth and energy environment & resilience. Their major work is 'plastic waste management programme' in 23 cities in India.
09. **Water Resource Group (WRG)** is working providing support to governments to accelerate sustainable water resources management for long-term development and economic growth. The major intervention being undertaken is mapping of all drains in Saharanpur by involving IIT and then suggests suitable technology as per the drain type. The same proposal is also considered for Meerut city and Shamli. PS has suggested WRG to undertaking drains improvement plan in Bakshi-Ka-Talab.
10. **OXFAM** is working on social norms, inequality, gender injustice, tribal groups, marginalised communities through grass-root programming, campaigning, empowering communities & State level engagements. Their future interventions include Capacity-building and Awareness-generation.
11. **Action Aid** has a presence in over 40 countries worldwide since 1972. In India, the coverage area is 25 states & one UT. Their work includes drought mitigation plans, water literacy, rejuvenation of water structures, training & capacity building of volunteers, awareness activities and they have an agreement with Nagar Panchayat BKT for developing DPR of FSM and awareness generation on schedule desludging in community. Their major intervention include the provision of door to door mini pipe water supply system

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12. **IDC** shared its mission of the organization, "Innovate to add value to the systems that impact human lives in large numbers" they along with ITC are supporting the Department of Urban Development, Government of UP. Working on Community-led Decentralised Solid Waste Management (CLDS): Pilot Project under SRC at Etawah.
 13. **AILSG** shared their inception and focus area in urban development projects in research, Capacity Building, Proposal building, manpower provision, DPR, Transaction advisory, implementation supervision, Monitoring and evaluation, etc. They shared that more focus of the Development Partners should be on sustaining the systems of the ULBs, equipping them to sustain on their own without the support of the Development Partners.
 14. **Vigyan Foundation** is an implementing agency working on different projects of Water Aid, Action Aid, and Oxfam India etc, focusing on Urban WASH in collaboration with Lucknow Municipal Corporation, Central Ground Water Board, Department of Education, NER and other institutions.
 15. **KPMG** is working with the Government of UP on the identification of key focus cities in Uttar Pradesh, providing strategic support in developing Wastewater Reuse policy for the State, development of the state-level RFP for FSSM, and development of Model RFP for C&D Waste Management and Feasibility of septage management in Lucknow and Prayagraj.
 16. **WaterAid** is working with communities in rural and urban areas through partners in 13 states across the country. They have an MoU with Lucknow Municipal Corporation as technical partners for Swachh Sarvekshan, they have done GIS mapping in association with Lucknow Municipal Corporation to identify gaps in services and have an agreement with Nagar Panchayat BKT for developing DPR of FSM and awareness generation on schedule desludging in community.
 17. **UNICEF** shared their work towards the overall development of the children, which includes working them on health, nutrition, C4D, Social Policy, Education, Child Protection, WASH and Climate Resilience/DRR. Under WASH, they are working on Safe Sanitation: ODF, ODF Plus – SLWM, safe drinking water + grey water management, wash compliant institutions: schools, AWCs, HCFs, safe behaviours – toilet usage, hand washing, waste management.
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