Inputs for State FSSM Policy from CSE - PSU

Addressing Gender and Urban Poor: City-wide Inclusive Sanitation and Effective FSSM in Uttar Pradesh

Prioritizing the 'Human Right of All to Sanitation'. Strategies and programs need to address adequately most vulnerable and marginalized communities – in particular women and others (like the children, elderly, third gender) with special focus on urban poor – in particular habitations in informal settlement. This includes empowering qualified staff and taking incremental interventions for addressing these challenges supported by an institutional framework with political, technical and managerial leadership with earmarked sufficient funds for investment and O&M.

INSTITUTIONAL FRAMEWORK FOR GENDER / URBAN POOR INCLUSIVE SANITATION INSTITUTIONAL FRAMEWORK RESPONSIBILITIES STATE LEVEL -Formulation of specific policies for inclusive (DoUD, GoUP) sanitation and ensure convergence. **State Sanitation Task Force** Develop and issue guidelines and advisories for cities. Subject specialists: Specialist Sub-committees on Monitoring city-level progress and issuing coarse correction instructions when necessary Gender, Urban Poor advice specialist issues Develop City-level action plan for mainstreaming Gender & Urban Poor inclusive sanitation. Take feedback from various Area Level Forums, NGOs, City Sanitation Task Force **CITY LEVEL -**CBOs and SHGs working in the city. Capacity building Sub-committees on Monitor the implementation of various interventions and take decisions relating to coarse correction when specialist issues needed. Reach-out to the community and understand specific issues which need to be addressed at various levels of Specialist Area AREA LEVEL -Level Forums Feedback from the community on the effectiveness of the interventions undertaken. (can be ward level or specific to certain Monitoring the ground-level implementation of settlements represented by urban various interventions.

Figure 1: Institutional Framework for Inclusive Sanitation

Chapter: Addressing Gender Issues in Sanitation

India has committed to achieving Sustainable Sanitation Goals (SDG) by the year 2030. The SDG Goal number 6 targets to have 'access to adequate and equitable sanitation and hygiene for all, end open defecation while paying special attention to the needs of women and girls and those who are in vulnerable position'. Despite such commitments, the country is grappling with ground realities that are leading to widespread gender inequalities in our communities.

poor / marginalized communities)

According to study by UN Women (2018), an average Dalit woman in India dies 14.6 years younger than those from higher castes, the cause being poor sanitation and inadequate access to water and healthcare. Likewise, transgender persons are often harassed, attacked or denied usage of public sanitation facilities. Pregnant and lactating women, elderly, people with disabilities also have underlying special needs and expectation from sanitation infrastructure.

The National Policy on Faecal Sludge and Septage Management (2017) prioritizes the need to mitigate gender-based sanitation insecurity directly related to FSSM, reducing the experience of health burdens, structural violence, and promote involvement of both genders in the planning for

and design of sanitation infrastructure. The policy further highlights that the burden of poor sanitation (compounded by the lack of FSSM services) disproportionately affects women, especially the urban poor, since they are primarily responsible for 'care giving' roles related to children, elderly as well as responsible for household level sanitation and cleaning activities.

Following the lines of Nation Policy on FSSM (2017), the state aims to empower women as active agents and participants of change and not merely as recipients or victims of policies. In order to address gender issues in sanitation during planning, designing and O&M of sanitation services, the following strategy is proposed:

- The state and the city level governments would ensure the availability of gender disaggregated data to better analyse and appreciate gender related issues. The data should include access and usage of safe sanitation for women, involvement of women. For example, location specific demographics can be developed by engaging local groups and organization whichcan then be used for better planning and designing of sanitation infrastructure and services like to determine toilet seats ratio for men, women and third gender.
- The Figure 1 above provides an institutional framework for Inclusive Sanitation in the State of Uttar Pradesh. Specialist sub-committees on Gender can be set-up within State Sanitation Task Force at the state level, the City Sanitation Task Force at the ULB level. Specialist Area Level Forums on gender issues can be set-up at settlement and ward levels to highlight important issues related to gender. Gender specialists can be engaged by the Department of Urban Development who would provide policy related advise to the state, help develop guidelines & advisories and build capacity of the ULB and area level committees and forums.
- Quotas can be formalized to secure position for women in state and local committee related to sanitation is ensured. At-least, 1/4th of the positions in the gender sub-committee at State and ULB level can be reserved for representatives of SHGs and CBOs related to gender inclusion.
- Women's groups need to be directly engaged in the planning, designing and implementation
 of sanitation infrastructure to ensure specific needs of all genders. Incorporating the gender
 needs in the design include issues such as having toilets specifically designed for women and
 the third gender e.g. retrofitting existing toilets and building specific'SHE' toilets
 incorporating the needs of all gender, provision for Menstrual Hygiene Management (MHM)
 like vending machines & disposal of sanitary pads, gender neutral toilets for third gender
 etc.
- Supporting women entrepreneurs, Women's Groups (SHGs) in sanitation services including O&M of community toilets, desludging services etc through access to subsidized financing, specific government subsidies, handholding in women entrepreneurs in instituting enterprises. Engaging such agencies in FSSM Value Chain.
- Capacity building of women in developing skillsets and to encourage them in entrepreneurship and joining the workforce in sanitation value chain (including masons, desludging and O&M of community toilets & FSTPs). Example Tamil Nadu and Jharkhand are capacitating women mason as 'Rani Mistries'.
- Ensure that the provision under National Guidelines on Menstrual Hygiene Management(MHM) issued by Ministry of Drinking Waste and Sanitation are followed while planning and designing sanitation services and infrastructure.
- Educating women and adolescent girls including Urban Poor and Marginalized communities on the dangers of poor and inadequate sanitation practices.
- The state and the local government should ensure sufficient budget to adopt genderinclusive approach for sanitation

- The state and the local government shall directly address and prohibit any discriminatory behaviour associated with sanitation and gender inequality. For example, Nepal Government has outlawed a practice known as 'Chaupadi' which placed restrictions on menstruating women like sleeping in different rooms, not allowed to enter kitchen or touch food & water, denied entry to religious ceremonies etc.
- Capacity building shall be undertaken for government staff as well as decision makers to sensitize them on the role of gender in sanitation such that they commit to prioritize and implement gender-inclusive sanitation planning.
- Promote Government led sanitation campaigning and IEC to break gender stereotypes.
 Women local leaders, transgender groups, women/men from slums committees to be
 encouraged as leaders and motivators of behavioural change in their respective
 communities. Persons showing remarkable work and leadership in spearheading the
 sanitation agenda to be facilitated.

Chapter: Addressing issues related to Urban Poor& Marginalized Communities in Sanitation

Urban Poor: refers to individuals and families living Below Poverty Line in urban areas, who are unemployed / underemployed, incapable of meeting their basic needs, are homeless or living in slums, squatters and informal settlements.

Marginalized Community: Groups confined to the peripheral edge of the society and are denied or left-out of involvement in mainstream social, economic, political and cultural activities. They may include lower caste, refugees etc.

The FSSM strategy and action plans shall be developed keeping the Citywide Inclusive Sanitation Principles (Appendix-16) into consideration and shall address the issues related to equity and inclusive sanitation:

- The Figure 1 above provides an institutional framework for Inclusive Sanitation in the State of Uttar Pradesh. Specialist sub-committees on Urban Poor & Marginalized Communities can be set-up within State Sanitation Task Force at the state level, the City Sanitation Task Force at the ULB level. Specialist Area Level Forums on Urban Poor and Marginalized Communities can be set-up at settlement and ward levels to highlight important issues. Specialists can be engaged by the Department of Urban Development who would provide policy related advise to the state, help develop guidelines & advisories and build capacity of the ULB and area level committees and forums in this regards.
- The State and City-level action plans to ensure sanitation services reach all including Urban Poor and are not restricted based on land-tenure status. There is a focus in providing access to safe sanitation to the informal settlements.
- There is representation from all marginalized groups and urban poor settlementsthrough
 Area Level Forums as well as sub-committees in City Sanitation Task Force. The State-Level
 sub-committees need to ensure that issues flagged by various city-level and area-level
 forums are addressed at the policy level and specific guidelines and advisories are issued by
 the state government.
- Access to subsidized financing or government subsidies is made available to the Urban Poor& Marginalized Communities so that they have access to safe sanitation.
- Public funding is made available for sanitation related investment and O&M.The tariff or user charges for sanitation are designed to be affordable to the Urban Poor.

- Engaging with the marginalized groups and urban poor through Self Help Groups and Civil Society at the grass-root level for sensitization related to benefits of safe sanitation and generating awareness and demand for the government programmes and subsidies available for them.
- There is representation of the urban poor and marginalized groups through Community Based Organizations and Self Help Groups during the planning, designing and decision of infrastructure and services relating to sanitation in the city.
- Effective monitoring mechanisms to ensure the needs of the urban poor and marginalized communities are being addressed and ensure feedback on the interventions by the city.
- Capacity building of the city officials to engage with urban poor and marginalized communities in decision making process.

Annexure-16: Citywide Inclusive Sanitation: Principles

Everyone in Urban Areas,	Services are inclusive: Authority mandates are based on Urban
including the Urban Poor,	Planning principles, and do not restrict access to services based on
benefits from equitable safe	land tenure, infrastructure options, or local political boundaries;
sanitation services	transient and permanent populations needs are being met
	Inclusive services must be provided equitably; prices reflect
	service levels; public finance is available and legitimate
	irrespective of technology or on-plot/off-plot citing; subsidized
	finance is prioritized for the poorest
	'Services' means users' waste is safely managed; communities are
	free of others' waste
Gender and Social Equity	Those who are marginalized, women, children, without formal
should be ensured in the	tenure or access to sewers are intentionally engaged in decision
design and delivery of city	making
sanitation services	Specific needs, values and constraints of marginalized and
	vulnerable workers, customers, and communities are understood
	and incorporated into hiring, service delivery planning, and service
	delivery
Human waste is safely	Infrastructure and service delivery systems protect workers,
managed along the entire	households, communities at all stages of the containment,
sanitation service chain	emptying, conveyance, treatment and disposal/reuse
	Containment is managed to protect groundwater and
	environmental health
	Workers' health and rights are protected by occupational health
	and safety measures
	Hardware investments and service models enable resource
A the fit has a select	recovery for more sustainable systems
Authority has a clear,	Mandate for urban sanitation is clearly defined and assigned to
inclusive mandate with performance targets, and is	service authority without overlap
both resourced and	Mechanism for defining and setting authorities' performance targets
accountable to deliver	Accountability mechanism sets clear targets, monitors relevant
accountable to deliver	data, incentivizes performance absent political interference
	Authority can secure predictable and sufficient resources to
	execute mandate sustainably
Mandated authorities deploy	Sanitation service mandates are technology agnostic; planning and
a range of funding, business,	investments support incremental and integrated systems
and hardware approaches –	Service authorities may deploy different business models to reach
sewered / non-sewered – to	different customer segments within a city efficiently and equitably
meet the mandate	
Comprehensive long-term	Investment planning and prioritization processes are documented,
planning fosters innovation	transparent and engage stakeholders, standardization community
and is informed by city goals,	engagement processes
resources, and development	Investment and finance decisions and priorities are based on
dynamics	activity-based cost accounting, revenue and performance targets
	Investment and planning is informed by natural resources climate
	change context
	Plans and performance incentives strengthen service authority
	capacity, responsiveness, and innovation
	Revenue opportunities help to incentivize and sustain improved

	collection and treatment; resource recovery-based revenue should improve system performance but do not eliminate the rationale for public investment Planning is coordinated with other urban investments and
	services, e.g. neighbourhood upgrades, water services, storm water and grey-water management, roads
Political will and accountability systems incentivize service improvements in planning, capacity, and leadership	Commitment to safe inclusive urban sanitation is demonstrated at multiple levels of the government based on budget expenditure on both services and performance accountability Accountability systems, for example performance regulators, are designed to be autonomous and independent and to empower community voices
	Service authorities have support from politicians for effective institutional reforms, including tariff-setting and enforcement Performance monitoring and accountability are designed to support learning and innovation for improved performance, not just penalties